

Application No.: 10/587,498

Request For Refund For Assertion Of Small Entity Status dated December 9, 2009

Docket No.: 903-196 PCT/US/RCE

Page 2

<u>Fee Description</u>	<u>Current Fee Code</u>	<u>Date Paid</u>	<u>Fee Paid as Large Entity</u>	<u>Current Small Entity Fee</u>	<u>Refund Requested</u>
Request for Continued Examination	1801	Sept. 9, 2009	810.00	405.00	405.00
Petition For Extension of Time (3 mon)	1253	Sept. 9, 2009	980.00	425.00 (555.00-130.00)	555.00
<b>Total Fees Paid:</b>			1790.00		
<b>Total Fees Due as Small Entity:</b>				830.00	
<b>Total Refund Requested:</b>					960.00

A refund of \$960.00 is requested with this submission. The Commissioner is hereby authorized to credit any refund to Deposit Account No. 08-2461. Please direct any questions regarding this submission to Applicant's undersigned attorney.

Respectfully submitted,

/Anna-lisa L. Gallo, Reg. # 50,279/  
 Anna-lisa L. Gallo, Esq.  
 Registration No.: 50,279  
 Attorney for Applicants

HOFFMANN & BARON, LLP  
 6900 Jericho Turnpike  
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 (973) 331-1700

## Electronic Patent Application Fee Transmittal

**Application Number:**

10587498

**Filing Date:**

20-Sep-2006

**Title of Invention:**

Phase transformation in molecular solids

**First Named Inventor/Applicant Name:**

Tanja Bendele

**Filer:**

John S. Sopko/Mary Farischon

**Attorney Docket Number:**

903-196 PCT/US

Filed as Large Entity

### U.S. National Stage under 35 USC 371 Filing Fees

Description

Fee Code

Quantity

Amount

Sub-Total in  
USD(\$)

**Basic Filing:**

**Pages:**

**Claims:**

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

**Post-Allowance-and-Post-Issuance:**

**Extension-of-Time:**

Extension - 3 months with \$130 paid

1253

1

980

980

Adjustment date: 12/23/2009 SDIRETA1  
09709/2009 INTEFSW 00009333 082461 10587498  
01 FC:1253 980.00 CR  
02 FC:1801 810.00 CR

12/23/2009 SDIRETA1 00000006 082461 10587498

01 FC:2801 405.00 DA  
02 FC:2253 425.00 DA

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Bendele et al.	Examiner:	Rump, Richard M.
Application No.:	10/587,498	Group Art Unit:	1793
Confirmation No:	8290	Docket:	903-196 PCT/US/RCE
Filed: §371(c) date	September 20, 2006	Dated:	December 9, 2009

For:

Mail Stop 16  
Director of the U.S. Patent and Trademark  
Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**Certificate of Facsimile Transmission**

I hereby certify that this correspondence is being transmitted  
to the Refund Branch of U.S. Patent and Trademark Office  
via facsimile to 571-273-6500.

Dated: December 9, 2009 Anna-Lisa L. Gallo /ALG /

**REQUEST FOR REFUND FOR ASSERTION  
OF SMALL ENTITY STATUS**

Sir:

The above-referenced application was filed under 35 U.S.C. §371 on September 20, 2006. The application paper fees were incorrectly paid as a large entity. The applicant is a small entity, and such small entity status should have been claimed upon filing. A copy of an Assertion of Entitlement to Small Entity Status filed with the Patent Office on November 20, 2009 is enclosed.

Submitted herewith is an itemized statement of the fees paid on September 9, 2009 as a large entity; the correct fees payable as a small entity, and a refund due for each particular fee paid.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Bendele	Examiner:	Rump, R.M.
Serial No.:	10/587,498	Group Art Unit:	1793
Confirmation No.:	8290	Docket:	903-196 PCT/US/RCE
Filed:	September 20, 2006	Dated:	November 20, 2009
For:	PHASE TRANSFORMATION IN MOLECULAR SOLIDS		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS**

Sir:

The above-identified U.S. patent application No. 10/587,498, meets the requirements under 35 C.F.R. §1.27 for claiming small entity status. Thus, the applicant is a small entity and the application is entitled to be treated under the small entity status in accordance with the rules

Should you have any questions, you are invited to contact the represented attorney listed below.

Respectfully submitted,



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